Effect of high-intensity resistance exercise on cardiometabolic health in older men with osteosarcopenia: the randomised controlled Franconian Osteopenia and Sarcopenia Trial (FrOST)

Wolfgang Kemmler 1, Matthias Kohl, Simon von Stengel, Daniel Schoene

ABSTRACT

Objectives  Sarcopenia is related to the metabolic syndrome (MetS), a cluster of cardiometabolic risk-factors (CRF). Most exercise trials apply aerobic rather than resistance exercise to address CRF, while the strategy for maintaining muscle and bone is the opposite. However, there is considerable evidence that resistance exercise positively affects CRF. In the present study, we determined the effect of high-intensity resistance exercise training (HIT-RT) on CRF represented by the MetS in older men.

Methods  Forty-three osteosarcopenic and predominately obese older men (>72 years) living independently in Erlangen-Nürnberg, Germany were randomly assigned to two study arms. The HIT-RT group (n=21) conducted a periodised high intensity/effort protocol dedicated to muscle and bone mass and function two times per week, while the control group (CG: n=22) maintained their habitual physical activities. Both groups were supplemented with protein, cholecalciferol and calcium. Study outcomes presented here were the MetS-Z (MetSZ) score and its underlying risk-factors.

Results  After 18 months of intervention, we observed significant effects for the MetSZ score (p<0.001), with significant improvements in the HIT-RT and significant worsening in the CG. In detail, all parameters constituting the MetS contributed to this result, however, only waist-circumference, HDL-cholesterol and mean arterial blood pressure revealed significant effects. No adverse events were reported and high adherence was determined for the HIT-RT protocol.

Conclusion  Continuously supervised HIT-RT is an effective, attractive, feasible and safe method to address cardiometabolic risk-factors in community-dwelling men aged 72 years and older. Further, considering the proven effects on muscle-related risk factors, the present results indicate a more prominent role for HIT-RT within non-pharmacological prevention strategies of older adults.

Trial registration number  NCT03453463.

INTRODUCTION

Sarcopenia characterises the increased age-related and/or immobility-related reduction of muscle mass and function. Apart from functional aspects, skeletal muscles are major sites for glucose uptake and deposition. There is considerable evidence that sarcopenia increases insulin resistance, thereby progressively inducing diabetes and metabolic syndrome (MetS). Thus, considering the cardiometabolic relevance of muscle, interventions that can counteract sarcopenia are of high priority. The most effective sarcopenia therapy might be (dynamic) resistance exercise (DRT). However, as the majority of older people show limited affinity to resistance exercise, time effective, consistently supervised DRT supported by dietary supplements could be a feasible option for this cohort.

High-intensity resistance exercise training (HIT-RT) defined as single set resistance exercise conducted with high effort could be such a time-efficient vehicle. In a recent study, we compared the effect of a time-efficient HIT-RT protocol with a multi-set RT protocol in predominately overweight men aged 30-50 years. Apart from slightly (non-significant) higher effects on cardiometabolic risk factors, HIT-RT demonstrates comparable effects on lean body mass (LBM); however, only in combination with protein supplementation. Thus, while exercise volume of the...
RT might not be the critical aspect, there is considerable evidence, although not undisputed, that high exercise intensity is more favourable for affecting cardiometabolic parameters compared with low or moderate intensity exercise.\textsuperscript{9–11} Further, in view of the low effort needed to cover the increased protein demand in older age\textsuperscript{12} with protein supplements, the combination of supervised HIT-RT and customised protein supplementation might be a promising approach for this vulnerable cohort.

The aim of the present contribution was thus to determine the effect of a state-of-the-art HIT-RT combined with moderately dosed (whey) protein supplementation on the MetS as a cluster of cardiometabolic risk factors\textsuperscript{13} in community dwelling older men with osteosarcopenia. Our hypothesis was that the MetSZ (MetSZ) score improves significantly in the HIT-RT group compared with the non-training control group (CG). Our secondary hypotheses were (i) mean arterial blood pressure (MAP) and (ii) waist circumference decrease significantly, while (iii) resting glucose, (iv) triglycerides and (v) HDL-cholesterol (HDL-C) do not change significantly compared with control.

**MATERIAL AND METHODS**

**Study design**

The Franconian Osteopenia and Sarcopenia Trial (FrOST) is a randomised controlled exercise trial with a balanced parallel group design that included community-dwelling (CDW) older men with osteosarcopenia.\textsuperscript{14} Primary study outcomes of FrOST were skeletal muscle mass and bone mineral density\textsuperscript{15}; the present publication focuses on changes of the MetS, as determined from baseline (June 2018) to study end (December 2019).

**Patient and public involvement**

Patients and/or the public were not materially involved in the study design, conduction or dissemination of this research.

**Participants**

Participant recruitment and flow were reported in detail in preceding publications,\textsuperscript{16,17} thus only a condensed summary will be provided. Briefly, 242 CDW men 72 years and older, participants of a preceding epidemiological study\textsuperscript{18} were invited to a follow-up assessment. One hundred and eighty men responded\textsuperscript{19} and were assessed for eligibility (figure 1). Applying the exclusion criteria (i) secondary osteoporosis, (ii) history of hip fracture, (iii) resistance type exercise <45 min/week, (iv) amputations of limbs or cardiac pacemaker, (v) implementation of glucocorticoid therapy >7.5 mg/day during the last 2 years, (vi) cognitive impairments, (vii) alcohol consumption >60 g ethanol per day, (viii) skeletal muscle mass index >7.50 kg/m\textsuperscript{2} as determined by bio-impedance-analysis, left 103 men preliminary eligible. Applying further dual energy X-ray absorptiometry (DXA), men were included in FrOST when featuring (i) sarcopenia (<7.26 kg/m\textsuperscript{2}\textsuperscript{20,21}) and (ii) osteopenia.\textsuperscript{22} Finally, 43 men were willing to be randomly allocated either to an exercise (HIT-RT: n=21) or CG (n=22) and were included in FrOST. Figure 1 shows participant flow through the study.

**Randomisation procedures**

Participants randomly allocated themselves to the study groups by drawing lots. Lots were put in small opaque plastic containers and placed in a bowl by a person not involved in the present project. Neither participants nor researchers knew the group allocation before the lots were drawn and the capsules were opened (allocation concealment). After randomisation, the primary investigator (WK) enrolled participants and instructed them in detail about their study status and corresponding do’s and don’ts (see below).

**Blinding**

Our blinding strategy focused on outcome assessors and test assistants who were unaware of the participants’ group status (HIT-RT or CG) and were not allowed to ask, either.

**Study procedure, intervention**

**Dietary supplementation**

All participants were provided with cholecalciferol, calcium and whey protein supplements in order to reach current recommendations.\textsuperscript{12,23} In line with the Prot-Age research group\textsuperscript{24} we intended a total whey protein (Active PRO80, inkospor, Roth, Germany) intake of 1.5 g/kg in the HIT-RT and an intake of 1.2 g/kg body mass/day in the CG. The chemical score of the protein product is 159, 100 g/day represented a caloric value of 360 kcal and contained 80 g of (whey) protein with a high L-Leucine (9 g) and essential amino acid (57 g) component. Three hundred milligram of calcium were contained in a 25 g/portion of the protein powder. We recommended participants with low calcium intake to mix the protein powder

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**Figure 1** Participant flow through the study. CG, control group; FranSO: Franconian Sarcopenic Obesity trial; HIT-RT, high-intensity resistance exercise training; ITT, intention-to-treat; SMI: skeletal muscle mass index.
with low fat milk (10 g/150 mL) and to split doses higher than 30 g/day.

Based on blood analysis of 25 OH Vitamin-D 3 (25(OH)D) all participants of the HIT-RT or CG were provided with cholecalciferol (MYVITAMINS, Manchester, UK). Participants with serum concentrations below 30 mg/mL were supplemented with 10 000 IE/week (4 units=2500 IE). Participants with serum levels between 30 and 40 mg/mL were requested to take two capsules of 2500 IE, that is, 5000 IE/week.

Based on the dietary calcium questionnaire (Rheumaliga, Switzerland) we aimed to ensure a calcium intake of ≈1000 mg/day in all the participants. The required additional daily calcium was provided by calcium capsules (Sankt Bernhard, Bad Dietzenbach, Germany).

### Intervention: resistance exercise

The FrOST study intervention was described in detail in earlier publications, thus only a brief summary of the intervention will be provided. Of importance, the exercise intervention of the present study focused exclusively on isolated dynamic resistance training on strength devices (MEDX, Ocala, Florida, USA). Twelve to fourteen exercises selected from a pool of 18 exercises (calf raises, leg press, -extension, leg curls, -adduction, -abduction, hip extension, latissimus front pulleys, pull-overs, seated rowing, back extension, inverse fly, bench press, military press, lateral raises, butterfly with extended arms, crunches, lateral crunches) were prescribed per session. The exercise protocol scheduled two sessions of 35–50 min/week, consistently supervised by licensed trainers. Of note, on average one trainer supervised no more than six participants. Men in the HIT-RT were provided with detailed training records that prescribed exercises, number of sets, number of repetitions (reps), movement velocity and (absolute) exercise intensity. The latter was specified by prescribing a range of reps (ie, 7–9 reps) and the set endpoint. Exercise protocols of each training phase were introduced beforehand in joint meetings. After a briefing and conditioning phase of 12 weeks, we introduced the single set HIT-RT structured in linearly periodised mesocycles with 2–3 blocks of 4 weeks with each fourth week as an active regeneration week. Relative intensity during the 4-week blocks averaged between 60% 1 repetition maximum (RM) for week 1 and up to 85% 1RM for week 3. During the following mesocycles, we consistently manipulated movement velocity, breaks between set/exercises and introduced explosive movements during the concentric phase. We also switched from a non-RM (nRM) to the RM (Set endpoint when trainees properly complete the final repetition but if the next repetition were attempted they would definitely achieve momentary failure. However, according to the definition, sets conducted with high velocity were terminated when explosive velocity was no longer possible.) approach. We introduced the superset approach during the fourth and the drop-set approach during the fifth mesocycle. After 1 year (ie, mesocycle 6), we tested the momentary failure approach (Briefly ‘inability to realise the concentric phase of the current rep’), however after 2–3 weeks of training we decided together with the participants to abstain from this very exhaustive training method. Apart from slight alterations, no further relevant changes of the training protocol were made during the last 5 months of the 18-month intervention. Taken together, our exercise programme provided a time-efficient periodised DRT for most minor and all major muscle groups with high intensity (up to 85% 1RM), high effort (RM, intensifying strategies) and high movement velocity and introduced regular active rest periods (60% 1RM, nRM).

Attendance and compliance with the exercise protocol were checked by instructors and by the gym’s chip card system. Compliance with the dietary supplementation was monitored by the distribution records, the research assistants’ regular phone calls and personal interviews at follow-ups.

Of importance, all participants were requested not to change their physical activity and exercise habits outside the present study intervention. Further, all the men were asked to maintain their dietary habits.

### Study outcomes

#### Main outcome of the present study

- MetsZ score based on the International Diabetes Federation (IDF) criteria of the MetS as assessed at baseline and at 18-month follow-up.

#### Secondary outcome of the present study

- Parameters constituting the MetS according to IDF as assessed at baseline and at 18-month follow-up.
  - Waist circumference.
  - MAP.
  - Glucose.
  - Triglycerides.
  - HDL-cholesterol.

### Changes of trial outcomes after trial commencement

Due to a technical defect, DXA assessments were not conducted at the 6-month follow-up as intended.

### Assessments

Participants were asked to restrain from intense physical activity and exercise 48 hours preassessment. Baseline and 18-month follow-up tests were conducted by the same researcher at the same time of day.

### Outcome parameters

Height was measured using a stadiometer and body mass was determined via direct-segmental, multi-frequency Bio-Impedance-Analysis (DSM-BIA; InBody 770, Seoul, Korea). Waist circumference was determined as the minimum circumference between the distal end of the rib cage and the top of the iliac crest along the midaxillary line.

After an overnight fast, blood was drawn in a sitting position from an antecubital vein between 07:00 and
Statistical analysis

Intention-to-treat (ITT) analysis was applied and included all participants randomly assigned to the HIT-RT or CG. Multiple imputation (ITI) was calculated using R statistics software (R Development Core Team Vienna, Austria) in combination with Amelia II.26 Imputation was repeated 100 times and worked well in all cases as confirmed by overimputation diagnostic plots (‘observed vs imputed values’). Normal distribution of the data was checked by statistical (Shapiro-Wilk) and graphical (qq-plots) tests. Based on the corresponding results, study outcomes addressed here were analysed by dependent t-tests for within group changes. Pairwise t-test comparisons (HIT-RT vs CG) for intragroup changes with pooled SD were applied to identify group differences (‘effects’). In order to adjust for baseline differences, we additionally analysed the data using an analysis of covariance (ANCOVA). Standardised mean difference (SMD) according to Cohen (d)29 was calculated to determine effect sizes. We applied two-tailed tests, significance was accepted at p<0.05.

RESULTS

Baseline characteristics

Table 1 shows participant characteristics at baseline. Most baseline characteristics were similarly distributed between the groups. However, some particularities should be noted. Accepting that a body fat rate of 27%–30% constitutes obesity in men,30 most participants (75%–94%) can be considered as ‘osteosarcopenic obese’.31 Further, serum 25(OH)D was very low in both groups.

Intervention characteristics

Altogether five participants were lost to follow-up (Figure 1). No participant of the HIT-RT group quit the study for intervention-related reasons. Apart from one participant who reported temporary worsening of existing knee and shoulder pain, no resistance-training-induced

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Table 1  Baseline characteristics of the CG and HIT-RT groups

<table>
<thead>
<tr>
<th>Variable</th>
<th>CG (n=22)</th>
<th>HIT-RT (n=21)</th>
<th>P value</th>
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<tbody>
<tr>
<td>Age (years)</td>
<td>79.2±4.7</td>
<td>77.8±3.6</td>
<td>0.262</td>
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<tr>
<td>Body mass index (kg/m²)*</td>
<td>24.5±1.9</td>
<td>25.0±3.0</td>
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<tr>
<td>Body fat rate (DXA) (%)†</td>
<td>34.5±6.1</td>
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<td>LDL (mg/dl)†</td>
<td>6.89±0.31</td>
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<td>MetS-prevalence (n)</td>
<td>7</td>
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<td>Hypertension (n)‡†</td>
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<td>3</td>
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*Via bio impedance analysis.
†Via dual-energy X-ray absorptiometry (DXA, hological QDR 4500a, USA).
‡Mean arterial blood pressure>106.7 mmHg.
§Scale from (1) ‘very low’ to (7) ‘very high’.31
¶Lower extremity function’, late life function disability instrument52; (scale from (1) ‘no problem’ to (5) ‘impossible’).
¶‡Serum concentrations of 25(OH)D were measured using a Roche Modular E170 Analyzer and an electro-chemiluminescence immunoassay (ECLIA; Roche Diagnostics, Penzberg, Germany).
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CG, control group; DXA, dual energy X-ray absorptiometry; HIT-RT, high-intensity resistance exercise training; LBM, Lean Body Mass; MetS, metabolic syndrome.

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CG, control group; DXA, dual energy X-ray absorptiometry; HIT-RT, high-intensity resistance exercise training; LBM, Lean Body Mass; MetS, metabolic syndrome.
complaints or unintended side effects were recorded. Average training attendance (rate) was 95%±5% (84%–100%) and average time expended for an exercise session varied between 35 and 50 min. Based on distribution logs, biweekly phone calls and personal interviews we consider compliance with protein, calcium and cholecalciferol supplementation as high (>90%).

**Study endpoint**

Table 2 displays study results on the MetSZ score. In summary, the MetSZ improved significantly in the HIT-RT (p=0.012) and worsened significantly (p=0.021) in the CG. Differences between the exercise and CGs (ie, ‘effect’), both of which were supplemented with protein, cholecalciferol and calcium, were significant (p=0.001; SMD=1.10). Applying ANCOVA to adjust for baseline differences (table 2) the effect remained statistically significant (p=0.001; mean group difference: 1.47; 95% CI 0.56 to 2.37).

In detail, all parameters included in the MetS according to IDF contributed to the positive result on MetSZ. Waist circumference, MAP and HDL-C show significant effects (table 3), while group difference for 18-month changes of resting glucose (HIT-RT: 6.0±13.6 vs CG: 7.9±16.5 mg/dL; p=0.673) and triglycerides (HIT-RT: −14.2±40.2 vs CG: −5.7±46.9 mg/dL; p=0.526) were non-significant. Effect sizes for group differences of waist circumference (SMD: 1.37), MAP (SMD: 0.98) and HDL-C (SMD: 0.57) average from moderate to high. Applying ANCOVA, group differences remained statistically significant for waist circumference (p=0.001; mean group difference: 3.25 cm; 95% CI 2.06 to 4.44 cm), MAP (p=0.013; 4.07 mm Hg; 95% CI 0.92 to 7.22 mm Hg) and HDL-C (p=0.042; 4.94 mg/dL; 95% CI 0.2 to 9.7 mg/dL). In parallel, group differences of absolute changes remained non-significant for resting glucose (p=0.809) and triglycerides (p=0.501).

**Potentially confounding parameters**

Serum 25(OH)D increased similarly (p<0.001) in both groups. As determined by dedicated questionnaires and confirmed by personal interviews, participants reported no relevant changes of lifestyle, physical activity and exercise outside the HIT-RT protocol. In parallel, dietary records conducted at baseline and then after 6, 12 and 18 months did not indicate relevant changes of dietary habits. No participant reported relevant changes of pharmacetical therapies. However, apart from the man who had to stop exercising due to prostate cancer, two men of the HIT-RT and three men of the CG reported longer periods (3–8 weeks) of physical inactivity due to diseases and hospitalisation.

**Discussion**

The primary aim of FrOST was to determine the effects of HIT-RT on bone and muscle mass, but also its safety and feasibility in older, CDW men with osteosarcopenia and, at least in the majority (table 1), obesity. The present

<table>
<thead>
<tr>
<th>Table 2</th>
<th>Baseline data and changes of the MetS-Z score in the CG and HIT-RT along with corresponding between group differences</th>
</tr>
</thead>
<tbody>
<tr>
<td>MetS-Z score (Z)</td>
<td>CG MV±SD</td>
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<tr>
<td>Baseline</td>
<td>−4.22±3.70</td>
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<tr>
<td>Changes</td>
<td>0.80±1.71*</td>
</tr>
</tbody>
</table>

*p<0.05.

CG, control group; HIT-RT, high-intensity resistance exercise training; MetS, metabolic syndrome; MV, mean value; SD, standard deviation.

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<thead>
<tr>
<th>Table 3</th>
<th>Baseline data and changes of selected parameters constituting the metabolic syndrome according to IDF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waist circumference (cm)</td>
<td>CG MV±SD</td>
</tr>
<tr>
<td>Baseline</td>
<td>89.2±8.9</td>
</tr>
<tr>
<td>Changes</td>
<td>1.12±2.16*</td>
</tr>
<tr>
<td>Mean arterial blood pressure (MAP) (mm Hg)</td>
<td>CG MV±SD</td>
</tr>
<tr>
<td>Baseline</td>
<td>99.1±8.6</td>
</tr>
<tr>
<td>Changes</td>
<td>4.05±5.87**</td>
</tr>
<tr>
<td>HDL-cholesterol (HDL-C) (mg/dL)</td>
<td>CG MV±SD</td>
</tr>
<tr>
<td>Baseline</td>
<td>60.2±14.0</td>
</tr>
<tr>
<td>Changes</td>
<td>0.25±11.1</td>
</tr>
</tbody>
</table>

*p<0.05; **p<0.01; ***p<0.001.

CG, control group; HIT-RT, high-intensity resistance exercise training; MV, mean value; SD, standard deviation.
concessions will be necessary to implement effective, safe and attractive HIT-RT protocols, namely: (1) careful conditioning and briefing period; (2) continuous supervision by certified trainers; (3) periodised protocols with varying relative (%1RM) and absolute intensity (‘effort’) combined with (4) regular ‘active regeneration’ phases; (5) reasonable mix of nRM and RM sets within the session; (6) explosive movements not conducted at RM and (7) detailed briefing and introduction of the ‘new’ training logs in regular meetings. After a testing period of 2–3 weeks, we (participants and researcher) opted to drop the ‘momentary failure approach’,34 thus our DRT might not be a ‘purebred’ HIT-RT protocol. Obviously, ‘work to failure’ can be considered as a red line for this older cohort, at least in our study.

Study limitations
Some limitations and features may aggravate a simple interpretation of our results. (1) We applied a combined effect of HIT-RT and dietary supplementation, thus we are unable to attribute the cardiometabolic effects completely to HIT-RT. However, with respect to whey protein supplementation differing between the groups (1.2 g/kg/day vs 1.5 g/kg/day), there is no conclusive evidence for positive effects on MetS indices.43–45 (2) The METS-Z score might not be the most frequently used cardiometabolic outcome. However, the continuous scale of this cluster allows a precise, combined analysis of risk factors without generating multiplicity. (3) Monitoring training logs and participant compliance with exercise intensity prescriptions,17 we estimate that 25%–30% of the RM sets were performed at the RM of 2–3 weeks, we (participants and researcher) opted to drop the ‘momentary failure approach’,24 thus our DRT might not be a ‘purebred’ HIT-RT protocol.

CONCLUSION
It is debatable whether our results can be simply generalised to healthy older cohorts. However, some aspects of sarcopenia, such as inflammation,47 mitochondrial

What does the study add?
In summary, after 18 months of high intensity/high effort/velocity single-set DRT, we observed a pronounced effect on the MetSZ, without relevant adverse effects. In terms of drop-out and exercise attendance, the HIT-RT protocol applied can be considered not only as (time-) effective and safe, but also as an attractive exercise method for older men with limited affinity to exercise (table 1).

In order to generate a continuous and summarised score for the MetS, we applied the Z-score approach of Johnson et al30 however, we used the more recent IDF criteria13 for the MetS. A review of the literature revealed that only few exercise studies address the MetSZ, and even fewer focus on resistance-type exercise.632–35 In summary, Bateman et al32 and Earnest et al33 did not report positive effects of hypertrophy-orientated multiple set DRT-3 days per week for 426 or 9 months33 with 73 people with type 2 diabetes, 30–75 years old33 or 66 overweight, sedentary people 18–70 years old.32 While one study,35 focused on whole-body electromyostimulation and will be not discussed here (… the study demonstrated significant effects on the MetSZ-Score in older cdw women with sarcopenic obesity), two other studies applied a comparable HIT-RT protocol with34 or without protein supplementation634 over 4 months, although with 23 or 40 predominately overweight/obese men 30–50 years old. Both studies reported significant positive effects on the MetSZ (SMD: 1.0834). Additional protein supplementation did not significantly increase the effect, a finding that is supported by other studies.3637 Apart from participant characteristics, the main differences to the studies of Bateman et al32 and Earnest et al33 is the RT-exercise protocol.

While the latter studies applied an extensive multiple set with moderate exercise intensity and effort, we focus on a time-effective, although more strenuous, intervention with high effort (RM) and intensity (up to 85% 1RM) combined with periods of explosive movements. Indeed, there is some evidence that parameters constituting the MetS are sensitive to exercise intensity (eg,9–11), although this is not undisputed (eg,35). Due to our study design, we are unable to contribute to this important issue. However, our rationale for applying HIT-RT is its time efficiency. The present study indicates that 2 x 45 min/week are sufficient to improve both relevant cardiometabolic and also musculoskeletal parameters15 in this cohort of older men with osteosarcopenia, living independently in the community. However, there is an ongoing discussion whether HIT-RT,5 with high intensity/effort/velocity protocols can be considered suitable for older cohorts. While high velocity during resistance exercise is reported to be fully suitable and tolerable for the older subject,3940 the high effort aspect41 of HIT-RT might be viewed critically (review in42) for older people. We feel that some
abnormalities, oxidative stress, are factors that tend to decrease the effects generated by HIT-RT (and dietary supplements), and hence results should be at least comparably high in healthy older men. However, we conclude that HIT-RT is a highly effective, safe, feasible and attractive training method to fight cardiometabolic risk particularly in predominately overweight-obese older men.

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Competing interests None declared.

Patient consent for publication Not required.

Ethics approval The project was planned and initiated by the Institute of Medical Physics, University of Erlangen-Nürnberg (FAU), Germany. The FAU University Ethics Committee (number 67_15b and 4464b) and the Federal Bureau of Radiation Protection (BfS, number Z 5-2246212-2017-002) approved the trial. The study fully complies with the Declaration of Helsinki: Ethical Principles for Medical Research Involving Human Subjects. All study participants gave their written informed consent after receiving detailed information.

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Data availability statement Data are available upon reasonable request. The anonymised data used to support the findings of this study are available from the corresponding author upon request.

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