

# Face scale rating of perceived exertion during cardiopulmonary exercise test

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## ABSTRACT

**Objective** This study aimed to investigate the correlation between the face scale and heart rate (HR), exercise load and oxygen uptake ( $\text{VO}_2$ ) during cardiopulmonary exercise testing.

### Methods

This was a prospective, observational study of face scale rating of perceived exertion (RPE) and HR, exercise load and  $\text{VO}_2$  during cardiopulmonary exercise testing. A total of 30 healthy college men and 21 healthy college women were included. Subjects performed a cardiopulmonary exercise test with ramps and an increment increase in workload of 20 W/min. We recorded the responses of subjects using a face scale for RPE, HR, exercise load and  $\text{VO}_2$  every minute during the cardiopulmonary exercise test.

**Results** In men, there was a significant positive correlation between the face scale RPE and HR ( $\rho=0.856$ ,  $p<0.01$ ), exercise load ( $\rho=0.888$ ,  $p<0.01$ ) and  $\text{VO}_2$  ( $\rho=0.878$ ,  $p<0.01$ ) during the cardiopulmonary exercise test. Similarly, in women, there was a significant positive correlation between the face scale RPE and HR ( $\rho=0.885$ ,  $p<0.01$ ), exercise load ( $\rho=0.908$ ,  $p<0.01$ ) and  $\text{VO}_2$  ( $\rho=0.895$ ,  $p<0.01$ ) during the cardiopulmonary exercise tests.

**Conclusion** The face scale proposed in this study was related to physiological parameters, which suggests that it may be used to determine the intensity of exercise in healthy adults.

## INTRODUCTION

Aerobic exercise is a low-intensity to high-intensity exercise that depends primarily on the aerobic energy-generating process.<sup>1</sup> It includes activities that increase breathing and heart rate (HR) such as walking, jogging, swimming and biking exercises.<sup>2</sup> Aerobic exercise can be used in patients with various diseases such as cardiovascular disease,<sup>3</sup> chronic obstructive pulmonary disease,<sup>4</sup> stroke,<sup>5</sup> Parkinson's disease,<sup>6</sup> cancer<sup>7</sup> and diabetes.<sup>8</sup> It helps maintain cardiorespiratory and muscular fitness in addition to flexibility in healthy adults.<sup>9</sup> Since there is a positive correlation between HR and exercise intensity in individuals performing aerobic exercise using HR monitoring devices,<sup>10 11</sup> HR is often used to determine the intensity

## What are the new findings?

- ▶ We found that the face scale rate of perceived exertion (RPE) tended to increase with exercise load.
- ▶ The face scale RPE is easy to understand perceived exertion during exercise; furthermore, this scale was usable to determine the intensity of exercise.

## How might it impact on clinical practice in the near future?

- ▶ Face scale RPE may be preferred over the Borg scale to determine exercise load for elderly and children subjects.
- ▶ Because this scale has the face illustration, it may be easily to understand for elderly and children subjects.

of aerobic exercise. In addition, ratings of perceived exertion (RPE), such as the Borg scale, are often used as an alternative to HR to determine aerobic exercise intensity.<sup>12-14</sup> However, the Borg scale can be challenging for the elderly and children who may have difficulty understanding numbers and instrumental words such as 'somewhat strong' for perceived exertion. In contrast, the face scale was used to assess pain in patients<sup>15</sup> using a set of six faces that express the various levels of overt distress.<sup>16</sup> Since it employs figures to rate perceived pain levels, it is easy to understand and is therefore often used to assess pain in elderly<sup>15</sup> and children<sup>17</sup> subjects. However, to our knowledge, no reports investigated the validity and feasibility of using the face scale instead of the RPE to determine exercise intensity. Hence, we used the face scale as a measure of the RPE to determine exercise load. This study aimed to investigate the correlation between the face scale and HR, intensity of exercise and oxygen uptake ( $\text{VO}_2$ ) during cardiopulmonary exercise.

## METHODS

This was a prospective, observational study to determine the correlation between the



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**Figure 1** Face scale rate of perceived exertion.

face scale RPE and HR, exercise load and  $\text{VO}_2$  during cardiopulmonary exercise. A total of 30 healthy college men and 21 healthy college were included. Subjects performed cardiopulmonary exercise tests with ramp exercise protocols to determine the  $\text{VO}_2$ .<sup>18</sup> Each participant provided written informed consent after receiving information regarding the potential risks, study objectives, measurement techniques and benefits associated with the study. Our protocol consisted of a 4 min rest, 4 min warm-up, cardiopulmonary exercise and 2 min cool-down. A ramp programme with an incremental increase in workload of 20 W/min was employed using stationary bicycles (Aerobike 75XLIII; Konami, Tokyo, Japan) with ECG (DS-7520, Fukuda Denshi, Tokyo, Japan), and an exhaled gas analyzer (AE-310S; Minato Medical Science, Osaka, Japan). All subjects were instructed to maintain a cadence of 50 rotations per minute (rpm) during the cardiopulmonary exercise test. Exhaustion was defined as follows<sup>19</sup> (1): a plateau in oxygen consumption ( $\text{VO}_2$ ); (2) respiratory exchange ratio  $>1.1$ ; (3) HR values near the age-predicted maximal heart rate, calculated as  $220 - (0.65 \times \text{age})$ ; and (4) a decrease in the cycling cadence to  $<50$  rpm, despite strong verbal encouragement. The highest value obtained for  $\text{VO}_2$  was considered the  $\text{VO}_2$  peak. We evaluated HR using ECG, exercise load (watts) and  $\text{VO}_2$  using an exhaled gas analyzer every minute during cardiopulmonary exercise test and at the end of the exercise test. All subjects were asked 'how hard you feel you are working' using the face scale RPE and their responses were recorded (figure 1). Additionally, we determined anaerobic thresholds (ATs) using the V-slope method during the cardiopulmonary exercise tests.<sup>20</sup>

The outcomes were reported as a mean and SD or median. Spearman's rank correlation coefficients ( $\rho$ ) were calculated to evaluate the correlation between the face scale RPE and HR, watts, and  $\text{VO}_2$  every minute during the cardiopulmonary exercise tests. Statistical analyses were performed using SPSS V.19.0J. P values  $<0.05$  were considered statistically significant.

## RESULTS

All subjects performed the cardiopulmonary exercise test. Table 1 shows characteristics of subjects stratified by sex, results of the cardiopulmonary exercise tests at rest, AT and at the end.

In men, there was a significant positive correlation between the face scale RPE and HR ( $\rho=0.856$ ,  $p<0.01$ ), exercise load ( $\rho=0.888$ ,  $p<0.01$ ) and  $\text{VO}_2$  ( $\rho=0.878$ ,  $p<0.01$ ) during the cardiopulmonary exercise testing figure 2. Similarly, in women, there was a significant positive correlation between the face scale RPE and HR ( $\rho=0.885$ ,  $p<0.01$ ), exercise load ( $\rho=0.908$ ,  $p<0.01$ ) and  $\text{VO}_2$  ( $\rho=0.895$ ,  $p<0.01$ ) during cardiopulmonary exercise testing.

**Table 1** Characteristics of subjects (n=51)

Characteristics	Men (n=30)	Women (n=21)
	No	No
Age, years		
Mean (SD)	21 (1.1)	20.9 (0.3)
Median	21	21
Height, cm		
Mean (SD)	171.9 (5.7)	158.8 (4.9)
Body weight, kg		
Mean (SD)	63.2 (5.7)	50.8 (4.9)
Cardiopulmonary exercise testing		
At rest		
Face scale RPE	0.3 (0.8)	0.3 (0.7)
HR (beat/min)	82.9 (11.9)	88.5 (13.7)
Exercise load (W)	0	0
$\text{VO}_2$ (mL/kg/min)	4.1 (0.5)	3.9 (0.3)
Anaerobic threshold		
Face scale RPE	3.5 (1.6)	4.4 (1.5)
HR (beat/min)	128.8 (13.0)	139.2 (20.2)
Exercise load (W)	97.7 (14.4)	75.7 (13.3)
$\text{VO}_2$ (mL/kg/min)	18.0 (2.9)	16.9 (3.1)
End of test (maximum)		
Face scale RPE	9.1 (1.1)	8.3 (1.5)
HR (beat/min)	184 (12.2)	174.7 (13.5)
Exercise load (W)	192.3 (79.1)	125.6 (20.6)
$\text{VO}_2$ (mL/kg/min)	37.9 (5.3)	26.4 (4.1)
$\text{VO}_2$ , oxygen uptake; HR, heart rate; RPE, rate of perceived exertion.		

## DISCUSSION

This study showed that in both sexes of healthy college subjects there was a positive correlation between the face scale RPE and physiological outcomes during cardiopulmonary exercise testing. Limited data are available on the use of face scale RPE in cardiopulmonary exercise tests. Similar to our results, a previous study that compared the RPE scale using facial images and the Borg scale during exercise in both young adults and children<sup>21</sup> using five pedalling workload levels (20%, 40%, 60%, 80% and 100%) reported that facial RPE was positively correlated to exercise load and HR in both groups. Our study reported that face scale RPE during cardiopulmonary exercise testing was positively correlated to HR and exercise load; in addition, face scale RPE was positively correlated to  $VO_2$  during cardiopulmonary exercise in young adults. In our study, the correlation coefficient ( $\rho$ ) between the face scale and HR, exercise load and  $VO_2$  were 0.8–0.9 during cardiopulmonary exercise, which indicates a high positive correlation.<sup>22</sup> The face scale RPE may be correlated to oxygen uptake not only HR and exercise load during cardiopulmonary exercise testing. The OMNI scale is often used to assess perceived exertion in children during exercise.<sup>23</sup> It was initially developed for children who have difficulty understanding the association between written words and exercise intensity. However, it comprises pictures that are displayed on a line with a 20–25° slope.<sup>23</sup> In contrast, the face scale RPE used in this study is easy to understand and highly correlated to physiological exercise parameters. Thus, it may be used to determine the exercise load.

This study was limited to investigating the correlation between the face scale RPE and HR, exercise load and  $VO_2$  in healthy adults and did not include elderly or children subjects. Thus future studies are warranted for investigating the correlation between the face scale and

physiological parameters during cardiopulmonary exercise in elderly and children subjects.

## CONCLUSION

In this study, we investigated the correlation between the face scale RPE and HR, exercise load and  $VO_2$  during cardiopulmonary exercise in healthy adults. Our results showed that there was a significant positive correlation between the face scale RPE and HR, exercise load and  $VO_2$ . This suggests that it may be used to determine the intensity of physical exercise in healthy adults.

## PERSPECTIVE

We found that the face scale rate of perceived exertion tended to increase with exercise load. The face scale rate of perceived exertion was usable in both men and women to determine the intensity of exercise. The face scale rate of perceived exertion may be used as an alternative to heart rate to determine exercise load. Face scale may be preferred over the Borg scale<sup>24</sup> to determine exercise load for elderly and children subjects. Because this scale has the face illustration, it may be easily to understand for elderly and children subjects.

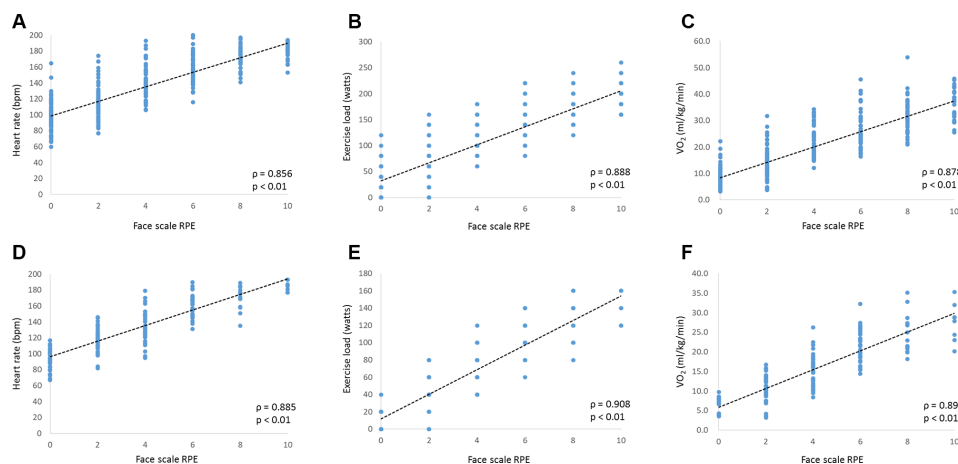
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**Contributors** SM, AT and HO were responsible for the data collection and data analysis. SN and JBF interpreted the data and wrote the first draft of the paper. All authors contributed to the final paper.

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**Competing interests** None declared.

**Patient consent for publication** Not required.



**Figure 2** Correlation between the face scale rate of perceived exertion and physiological outcomes during the cardiopulmonary exercise tests. The scatterplots illustrate the correlation between the face scale rate of perceived exertion and physiological outcomes during cardiopulmonary exercise tests. (A)–(C) show the correlation between the face scale rate of perceived exertion and heart rate, exercise load and oxygen uptake ( $VO_2$ ) in men, respectively. (D)–(F) show the correlation between the face scale rate of perceived exertion and heart rate, exercise load and oxygen uptake ( $VO_2$ ) in women, respectively. RPE, ratings of perceived exertion.

**Ethics approval** This study was approved by the Ethics Committee of Niigata University of Health and Welfare (approval no. 17912-171107) and conformed to the standards outlined in the Declaration of Helsinki.

**Provenance and peer review** Not commissioned; internally peer reviewed.

**Data sharing statement** Data are available by contacting the corresponding author.

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