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# Reduction in cardiorespiratory fitness after lung resection is not related to the number of lung segments removed

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#### **ABSTRACT**

**Aim:** To evaluate the effect of lung cancer surgery on cardiorespiratory fitness (CRF), and to assess the agreement between the predicted postoperative (ppo)  $\dot{VO}_{2peak}$  and actually measured postoperative peak oxygen uptake ( $\dot{VO}_{2peak}$ ).

**Methods:** Before and 4–6 weeks after lung cancer surgery, 70 patients (35 women) underwent measurements of pulmonary function and CRF via a cardiopulmonary exercise test. In addition, the 23 non-exercising patients underwent measurements after 6 months. The ppo  $\dot{V}O_{2peak}$  calculated from the number of functional segments removed was compared with the actually measured postoperative values of  $\dot{V}O_{2peak}$  for accuracy and precision.

**Results:** After surgery, the  $\dot{V}O_{2peak}$  decreased from 23.9±5.8 to 19.2±5.5 mL/kg/min (-19.6±15.7%) (p<0.001). The breathing reserve increased by 5% (p=0.001); the oxygen saturation remained unchanged (p=0.30); the oxygen pulse decreased by -1.9 mL/beat (p<0.001); the haemoglobin concentration decreased by 0.7 g/dL (p=0.001). The oxygen pulse was the strongest predictor for change in  $\dot{V}O_{2peak}$ ; adjusted linear squared: r<sup>2</sup>=0.77. Six months after surgery, the  $\dot{V}O_{2peak}$  remained unchanged (-3±15%, p=0.27). The ppo  $\dot{V}O_{2peak}$  (mL/kg/min) was 18.6±5.4, and the actually measured  $\dot{V}O_{2peak}$  was 19.2±5.5 (p=0.24). However, the limits of agreement were large (CI -7.4 to 8.2). The segment method miscalculated the ppo  $\dot{VO}_{2\text{peak}}$  by more than ±10 and ±20% in 54% and 25% of the patients, respectively.

**Conclusions:** The reduction in  $\dot{V}O_{2peak}$  and lack of improvement 6 months after lung cancer surgery cannot be explained by the loss of functional lung tissue. Predicting postoperative  $\dot{V}O_{2peak}$  based on the amount of lung tissue removed is not recommendable due to poor precision.

Trial registration number: NCT01748981.

## INTRODUCTION

Globally, 1.61 million people are diagnosed with lung cancer each year, and the incidence is increasing. The complications and mortality rate after surgery for this type of cancer are relatively high compared with other major surgical procedures and depend

## Strengths and limitations of this study

- Following lung cancer surgery, there was a marked decrease in  $\dot{V}O_{2peak}$  and lack of improvement 6 months after the surgery.
- The decrease was not explained by reduced lung mechanics or lower diffusion capacity in the lungs.
- A poor precision was observed between the predicted postoperative and actually measured VO<sub>2peak</sub> based on the number of lung segments resected

on the patient's health prior to surgery, and on the extent of the resection.<sup>2</sup> Therefore, preoperative risk assessment and the ability to predict postoperative outcomes are of major clinical importance. Cardiorespiratory fitness (CRF) measured as peak oxygen uptake (VO<sub>2peak</sub>) has been reported as being a better predictor of postoperative complications and mortality than the traditionally used pulmonary function variables: forced expiratory volume of air in the 1 s (FEV<sub>1</sub>) and diffusing capacity of the lung for carbon monoxide (DL<sub>CO</sub>).<sup>3</sup> Consequently, current guidelines have recommended exercise testing and defined VO<sub>2peak</sub> cut-off values for risk assessment.<sup>5–7</sup> Moreover, the European Respiratory Society/European Society for Thoracic Surgery (ERS/ESTS) guidelines include a modified version of the preoperative Bolliger and Perruchoud<sup>8</sup> algorithm, in which  $\dot{V}O_{2peak}$  is one of the pivotal measures. This algorithm has been validated and was recently adjusted to lower thresholds, thus allowing more patients to undergo surgery. In addition to predicted postoperative (ppo) FEV<sub>1</sub> and DL<sub>CO</sub>, ppo VO<sub>2peak</sub> is included in the algorithm. The ppo  $\dot{V}O_{2peak}$  is based on the principle that the amount of resected functional lung tissue corresponds with the drop in VO<sub>2peak</sub>, regardless of whether a pulmonary limitation is present or not. However, VO<sub>2peak</sub> is generally limited by cardiac output



and less by pulmonary factors, <sup>10</sup> which may question the validity of this measurement by ppo segment method.

In the few studies that have investigated the relationship between ppo  $\dot{V}O_{2peak}$  and actually measured post-operative  $\dot{V}O_{2peak}$ , the sample size has been small 11 12 and the results have been conflicting. 12-15 Furthermore, the  $\dot{V}O_{2peak}$  cut-off values, and the agreement between ppo and actually measured  $\dot{V}O_{2peak}$  values are based on exercise testing using a cycle ergometer instead of a treadmill. Leg discomfort during cycling is an important contribution to exercise termination in patients with lung cancer, rather than cardiopulmonary limitation. 12 13 16 17 This may explain the unexpectedly low peak heart rates 16 18-20 and high breathing reserves (>40%) reported in previous studies. Thus, when determining the degree of cardiopulmonary reserve and ppo  $\dot{V}O_{2peak}$ , additional knowledge is warranted.

The objective of this study was to evaluate the effect of lung cancer surgery on CRF, measured on a treadmill, and to assess the agreement between ppo  $\dot{V}O_{2peak}$  and actually measured postoperative $\dot{V}O_{2peak}$ .

#### **METHODS**

This longitudinal prospective cohort study investigated 70 patients with non-small cell lung cancer (NSCLC) who underwent lung cancer surgery at the Oslo University Hospital or Akershus University Hospital in

Norway from November 2010 to September 2012. Eligible patients were aged ≤80 years, had newly diagnosed or suspected NSCLC, and had been accepted for surgery. Patients were not eligible if they were unable to perform a maximal exercise test on a treadmill. The majority of the included patients (n=61) were participants in a randomised controlled trial studying the effect of 20 weeks of exercise training starting 4–6 weeks after surgery. The results of the pre-surgery to postsurgery lung function and the cardiopulmonary exercise test (CPET) variables of that trial are included in this paper. In addition, the 6 months results of 23 patients who acted as non-exercising sedentary controls are also included in the current study (figure 1).

The criteria used to determine operability were in accordance with the guidelines of the ERS/ESTS.<sup>5</sup> After signing an informed consent form, the patients were enrolled in the study and underwent lung cancer surgery through a muscle-sparing lateral thoracotomy or by video-assisted thoracoscopic surgery (VATS). The study protocol was approved by the Regional Committee for Medical Ethics (REK Sør-Øst B, 2010/2008a) and registered in the ClinicalTrials.gov (NCT01748981).

#### Measurements

All patients received salbutamol and ipratropium bromide 30 min before the measurements. Among the

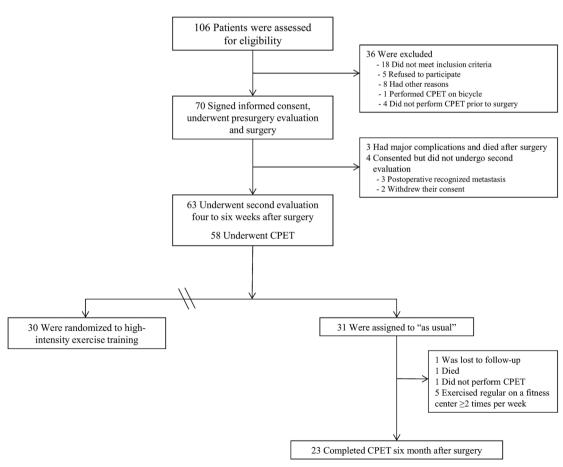


Figure 1 Flow of participants through the study; measurements after exercise training are not included in the data analysis.

patients who underwent measurements after 6 months, 33% received four cycles of adjuvant chemotherapy between the second and third measurement. None of the patients underwent organised exercise rehabilitation during the testing period.

Height and body mass were measured to an accuracy of 0.5 cm and 0.1 kg, respectively, with participants wearing light clothes and no shoes; body mass index (BMI) was calculated as body mass/height<sup>2</sup> (kg/m<sup>2</sup>).

Spirometry and  $DL_{CO}$  were conducted according to guidelines (Vmax SensorMedics, Yorba Linda, California, USA).<sup>22</sup> Maximal voluntary ventilation (MVV) was measured directly by breathing as deep and frequently as possible for 12 s in the standing position.

CPET was performed by uphill walking on a treadmill (Woodway, Würzburg, Germany) until exhaustion. All patients were familiar with treadmill walking before starting the test. Three minutes of warm-up and steady-state measurements were conducted with the treadmill speed individually set between 1.8 and 3.8 km/h, and inclination set at 4% based on the predicted fitness level of the patients. The inclination was then increased every 60 s by 2%, up to 20%. If the participant was still able to continue, the speed was increased by 0.5 km/h until patient reached exhaustion. The test was terminated when the individual could no longer continue, even with encouragement. Gas exchange and ventilatory variables (VE) were measured continuously, breath-bybreath, while breathing into a Hans Rudolph two-way breathing mask (2700 series; Hans Rudolph Inc, Kansas City, Kansas, USA). The mask was connected to a metabolic cart (Vmax SensorMedics, Yorba Linda, California, USA) to assess the oxygen and carbon dioxide content in the expired air to calculate oxygen uptake. HR (heart rate) was recorded each minute using a 12-lead ECG (Cardiosoft, GE Marquette Medical Systems, Milwaukee, Wisconsin, USA).

A capillary blood sample was taken 60 s after test termination (ABL 700 series, Radiometer, Copenhagen, Denmark) for the measurement of haemoglobin (Hb) and blood lactate concentration (La<sup>-</sup>).

### **Data handling**

The predicted values for  $FEV_1$  and  $DL_{CO}$  were taken from the European Community for Steel and Coal. The exercise variables were reported as a 30 s average and the  $\dot{V}O_{2peak}$  was expressed as a percentage of predicted based on the equations of Edvardsen and colleagues. The breathing reserve (%) was calculated using the following equation: ([MVV–V $_{Epeak}$ ]/MVV)×100. The oxygen pulse was calculated by dividing  $\dot{V}O_{2peak}$  (in mL) by the peak heart rate (HR $_{peak}$ ). The actual extent of the operation (ie, wedge resection, lobectomy or pneumonectomy) and number of lung segments removed were recorded after surgery, and the ppo  $\dot{V}O_{2peak}$  was calculated using the remaining functional segment technique estimated by bronchoscopy, lung perfusion scan or CT:

$$ppo = \textit{preoperative value} \frac{(19 - \textit{n segments resected})}{19 - \textit{unf unctional segments}}$$

For patients who underwent wedge resection, a value of one was used per functional segment.

#### Statistical analysis

Data were analysed using IBM SPSS Statistical Data Editor, V.21.0. Results are presented as the mean±SD. Differences between pre-surgery and postsurgery variables were analysed using student's paired t test.

Simple linear regression analyses were used to determine the relationship between the change from presurgery to post-surgery values of different CPET variables (independent variables) and change in  $\dot{V}O_{2peak}$  (dependent variable), and multiple linear regression analyses were used to study the contribution to the adjusted squared multiple correlation coefficient by including different sets of independent variables. Linear correlations (r<sup>2</sup>) were reported between actually measured and ppo variables. In addition, linear regression was used to study the adjusted squared linear correlation between the number of functional segments removed and per cent change in  $\dot{V}O_{2peak}$ .

The accuracy and precision of ppo versus actually measured values of pulmonary function and  $\dot{V}O_{2\rm peak}$  were determined, and the limits of agreement were calculated using a Bland–Altman plot with 95% CIs. p Values  $\leq 0.05$  were considered statistically significant.

#### **RESULTS**

This study examined 35 women and 35 men undergoing lung cancer surgery (table 1 and figure 1). The majority had adenocarcinoma (44%) and squamous cell carcinoma (39%), and 13 patients (19%) had stage IIIA disease.

### Physical characteristics before surgery

Pulmonary function and CPET variables before surgery are presented in tables 1 and 2. The breathing reserve was 35.0±14.1%. The CRF of six patients (8%) was limited by their ventilatory capacity, defined as a breathing reserve <15%. At maximal effort during the CPET, the respiratory exchange ratio and blood lactate concentration (La<sup>-</sup>) were 1.13±0.11 and 5.7±2.3 mmol/L, respectively. Dyspnoea was the most frequent reason for stopping the exercise test (42%), followed by general exhaustion (23%) and leg exhaustion (23%).

# Changes after surgery

After surgery, 11 patients did not undergo further investigation due to complications, metastases or comorbidities. For the remaining patients (n=59), change in pulmonary function and exercise variables following surgery are presented in table 2. The  $\dot{\rm VO}_{\rm 2peak}$  decreased by  $-5.0\pm4.5~\rm mL/kg/min~(-19.6\%)~(p<0.001)$ . However, nine patients did not exhibit a decrease in  $\dot{\rm VO}_{\rm 2peak}$ 

HR<sub>peak</sub>, peak heart rate; SpO<sub>2</sub>, oxygen saturation; V<sub>Epeak</sub>, peak minute ventilation; VO<sub>2peak</sub>, peak

forced expiratory volume after 1 s;

Change from baseline is significant at the 0.01

carbon monoxide

DLco, C

Table 1      Baseline characteristics of the participants					
Characteristics	Participants (n=70)				
Age, years					
Mean	66.1±9.0				
Range	35–80				
BMI, kg/m <sup>2</sup>	24.8±4.8				
Health condition					
COPD, N (%)	26 (37)				
Heart disease, N (%)	20 (29)				
Surgery procedure					
Wedge/lobectomy/pneumonectomy,	2/56/12				
n/n/n					
Thoracotomy/VATS, n/n	59/11				
Pulmonary function and physical characte	ristics				
FEV <sub>1</sub> , % of predicted	88.4±22.4				
DL <sub>CO</sub> , % of predicted	80.6±20.9				
VO₂ <sub>peak</sub> , % of predicted	80.6±16.4				
VO <sub>2peak</sub> , mL/kg/min	23.9±5.8				
Data are presented as mean±SD or No. (%) BMI, body mass index, calculated as body mass in kilogram divided by height in metres squared; COPD, chronic obstructive pulmonary disease; Def COPD, FEV <sub>1</sub> /FVC<70% and FEV <sub>1</sub> <80% of predicted <sup>25</sup> ; DL <sub>CO</sub> , carbon monoxide lung diffusion capacity;					

(figure 2). Furthermore, the breathing reserve increased by  $5.3\pm11.1\%$  (p=0.001); the oxygen saturation (SpO<sub>2</sub>) remained unchanged (p=0.30); the oxygen pulse and Hb concentration decreased by -1.9 mL/beat (p<0.001) and -0.7 g/dL (p=0.001), respectively.

FEV<sub>1</sub>, forced expiratory volume after 1 s; VATS, video assisted

thoracic surgery; VO<sub>2peak</sub>, peak oxygen uptake.

The oxygen pulse was the strongest predictor for change in  $\dot{V}O_{2peak}$ ; adjusted linear squared  $r^2$ =0.77. Adding change in FEV<sub>1</sub>, MVV, breathing reserve, DL<sub>CO</sub>, peak SpO<sub>2</sub>, and (Hb) in a multiple regression model resulted in only a modest increase in the predicting value of an adjusted squared  $r^2$ =0.83, with DL<sub>CO</sub> as the second contributor.

In the patients who underwent measurements 6 months after surgery (n=23), the FEV<sub>I</sub> increased by 7±11% (p=0.002), whereas the DL<sub>CO</sub> and  $\dot{VO}_{2peak}$  remained unchanged compared with the measurement performed 4–6 weeks after surgery, 4±16% (p=0.36) and  $-3\pm15\%$  (p=0.27), respectively.

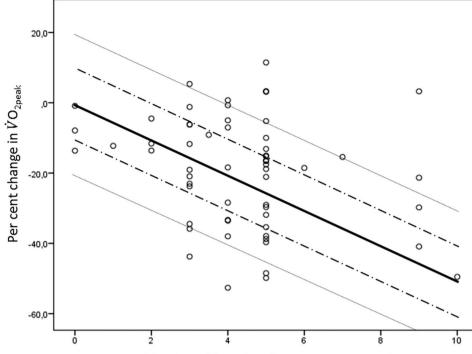
#### Ppo versus actually measured variables

The ppo  $\dot{V}O_{2peak}$  was compared with the actually measured  $\dot{V}O_{2peak}$  obtained 4–6 weeks after surgery (table 3). There were no significant differences between the ppo and actually measured values (satisfactory accuracy); however, the limits of agreement were large (poor precision) (figure 3). The linear correlation between ppo and measured  $\dot{V}O_{2peak}$  (in mL/kg/min) was  $r^2$ =0.50 (p<0.001) (for lobecotomy,  $r^2$ =0.56, p<0.001; and for pneumonectomy,  $r^2$ =0.15, p=0.187).

Figure 3 demonstrates the poor relationship between the per cent change in actually measured  $\dot{V}O_{2peak}$  from before to after surgery, and the number of functional

	Before surgery		After surgery		Change from baseline (95% CI)	5% CI)
	Lobectomy n=58	Pulmonectomy n=12	Lobectomy n=51	Pulmonectomy n=8	Lobectomy n=51	Pulmonectomy n=8
FEV <sub>1</sub> , L	2.4±0.8	2.6±0.7	2.0±0.6	1.7±0.3	-0.4 (-0.5 to -0.3)	-0.9 (-1.3 to -0.5)
DL <sub>CO</sub> , mmol/min/kPa	6.7±2.1	7.2±2.3	5.5±1.6	4.8±1.2	-1.3 (-1.6 to -1.0)	-2.7 (-4.1 to -1.3)
VO <sub>2peak</sub> , mL/kg/min	23.4±5.5	26.2±6.9	19.5±5.4	17.8±6.6	$-4.3 (-5.4 \text{ to } -3.3)^*$	-9.2 (-14.3 to -4.2)*
V <sub>Epeak</sub> , L/min	59.8±18.0	67.3±16.0	47.4±14.0	44.4±16.6	-11.3 (-14.8 to -7.8)*	-21.6 (-32.8 to -10.3)
Breathing reserve, %	35.3±14.9	34.8±11.0	41.8±13.0	41.0±15.3	5.8 (3.0 to 8.6)*	2.5 (-12.0 to 16.9)
SpO <sub>2peak</sub> , %	93.4±4.1	90.3±6.7	93.3±3.3	92.9±3.4	-0.6 (-0.2 to 1.5)	0.9 (-3.3 to 1.5)
Oxygen pulse, mL/beat	11.8±3.5	13.1±3.9	10.0±2.8	9.9±4.6	$-1.7 (-2.4 \text{ to } -1.0)^*$	$-2.7 (-4.3 \text{ to } -1.0)^*$
HR <sub>peak</sub> , beat/min	146.9±21.1	152.4±21.3	137.4±18.0	127.5±18.6	$-9.5 (-12.8 \text{ to } -6.1)^*$	-24.9 (-40.2 to -9.5)*
Haemoglobin, g/dL	14.7±1.6	14.2±1.8	13.9±1.3	13.6±2.4	$-0.7 (-1.1 \text{ to } -0.2)^*$	-1.1 (-2.4 to -0.3)

Figure 2 Per cent change in peak oxygen uptake (VO<sub>2peak</sub>) from before to after surgery for each patient relative to the number of functional lung segments removed. The solid line indicates the per cent change in the calculated postoperative VO<sub>2peak</sub>using the segment method, ±10% (dashed line) and ±20% (dotted line).



Number of functional segments removed

lung segments removed ( $\rm r^2$ =0.06). The solid black line shows the calculated ppo  $\dot{\rm VO}_{\rm 2peak}$  using the recommended segment method, and demonstrates the large variance between calculated ppo  $\dot{\rm VO}_{\rm 2peak}$  and the actually measured values of  $\dot{\rm VO}_{\rm 2peak}$ . By use of the segment method for predicting postoperative  $\dot{\rm VO}_{\rm 2peak}$ , 32 patients (54%) were mis-predicted by  $\geq \pm 10\%$ , and 15 patients (25%) were mispredicted by  $\geq \pm 20\%$  (figure 3).

#### **DISCUSSION**

The purpose of this study was to evaluate the effect of lung cancer surgery on  $\dot{V}O_{2peak}$  as measured on a treadmill, and to assess the agreement between predicted and actually measured  $\dot{V}O_{2peak}$  values. There was a clinically important and significant reduction in  $\dot{V}O_{2peak}$  after surgery, which lasted for more than 6 months. The reduction in  $\dot{V}O_{2peak}$  cannot be explained by the number of lung segments removed. Even though the calculation of ppo  $\dot{V}O_{2peak}$  was accurate, the precision of

the ppo  $\dot{V}O_{2peak}$  calculation was poor. Thus, the prediction of postoperative  $\dot{V}O_{2peak}$  from the number of lung segments removed should be questioned.

## **Cardiorespiratory fitness**

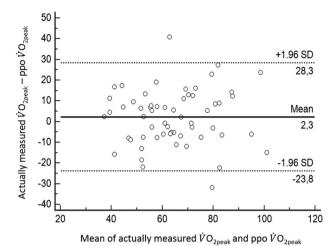
In the present study, the  $\dot{V}O_{2peak}$  measured before surgery was  $23.9\pm5.8\,\mathrm{mL/kg/min}$ . Despite the inclusion of a high number of female patients (50%), this is, to our knowledge, the highest reported  $\dot{V}O_{2peak}$  in a NSCLC population. In other studies reporting  $\dot{V}O_{2peak}$  prior to surgery, the average value has varied between 11 and  $20\,\mathrm{mL/kg/min}$ .  $^3$   $^{11-15}$   $^{17}$   $^{18}$   $^{27}$  We do not have any indications of the Norwegian NSCLC population as being more fit than other populations. The age, body mass, level of pulmonary function and proportion of comorbidities are comparable with those of other NSCLC populations. Thus, the high  $\dot{V}O_{2peak}$  in our population might be explained by the test method. All studies mentioned above used exercise testing on a cycle

**Table 3** Predicted postoperative (ppo) values and actually measured values 4–6 weeks after surgery for pulmonary function (n=63) and peak oxygen uptake (n=59), with limits of agreement and linear correlation ( $r^2$ )

_	Ppo value	Actually measured after surgery	Difference ppo-measured	p Value	Limits of agreement	Linear correlation
FEV <sub>1</sub> , % of predicted	69.5±19.9	72.9±17.5	-3.4±-13.7	0.06	-23.5 to 30.2	0.55
DL <sub>CO</sub> , % of predicted	63.6±18.9	65.4±18.1	-1.7±-12.3	0.27	-22.3 to 25.8	0.61
VO <sub>2peak</sub> , % of predicted	63.1±16.5	65.4±16.9	-2.3±-13.3	0.20	-23.8 to 28.3	0.46
VO <sub>2peak</sub> , mL/kg/min	18.6±5.4	19.2±5.5	-0.6±-4.1	0.24	-7.4 to 8.7	0.50
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Data are presented as mean±SD.

DL<sub>CO</sub>, carbon monoxide lung diffusion capacity; FEV<sub>1</sub>, forced expiratory volume after 1 s;  $\dot{V}O_{2peak}$ , peak oxygen uptake.



**Figure 3** Relationship between the mean of actually measured and predicted postoperative oxygen uptake (ppo  $\dot{VO}_{2peak}$  as % of predicted), and the difference between the actually measured  $\dot{VO}_{2peak}$ and ppo  $\dot{VO}_{2peak}$  with 95% CI (1.96 SD).

ergometer, in contrast to our study using a treadmill. When cycling, quadriceps fatigue rather than cardiopulmonary limitation is an important contributor to exercise limitation during CPET in patients with lung cancer. 12 13 16 17 Furthermore, the heart rate reserve has been reported to be high when using cycle ergometer<sup>4</sup> and consequently, the cardiopulmonary response to the increasing work rate may not have been fully challenged because of leg discomfort. These arguments are reflected in the significantly higher peak heart rate observed in the present study compared with other studies. 12 16 18-20 In addition, leg fatigue was only reported in 23% of the patients, which is considerably reported previously that cycling. 12 13 16 17 Uphill walking is a more functional and dynamic exercise mode compared with cycling and generates more muscle mass activation, as recruitment of the quadriceps muscle is increased.<sup>28</sup> Simultaneously, it generates lower blood pressure and blood lactate accumulation, as well as higher cardiac output, giving a 10-20% higher  $\dot{V}O_{2peak}$ . We, therefore, recommend treadmill testing for preoperative measurement of physical fitness, enabling the patient to walk slowly up an increasingly steep incline until exhaustion. Preoperative measurement of VO<sub>2peak</sub> is recommended as a tool for risk stratification in all guidelines: ERS/ESTS,<sup>5</sup> British Thoracic Society, and the American College of Chest Physicians.<sup>31</sup>

#### **Effect of surgery**

Four to 6 weeks after surgery, the  $\dot{VO}_{2peak}$  decreased by 17% and 34% in patients who underwent lobectomy and pneumonectomy, respectively. Our results are fairly consistent with those of Nezu *et al.*<sup>17</sup> In contrast, Brunelli *et al.*<sup>32</sup> found a minimal loss in  $\dot{VO}_{2peak}$  (5%) measured 4 weeks after surgery, despite significantly

larger decreases in  $FEV_1$  and  $DL_{CO}.$  However, the  $\dot{V}O_{2peak}$  in that study was estimated from a symptom-limited stair-climbing protocol, using a non-validated equation, thus rendering comparison with the present study difficult.

The reduction in  $\dot{V}O_{2peak}$  after surgery could not be explained by loss of lung tissue. This was demonstrated by the lacking relationship between the preoperative to postoperative change in FEV<sub>1</sub> and MVV, and the change in VO<sub>2peak</sub> and in addition, by a rather unexpected increase in breathing reserve, defined as a difference between MVV and peak ventilation of less than 15%.33 In fact, only two patients had their postoperative exercise capacity limited by lung mechanics. Furthermore, there was no change in SpO<sub>2</sub> during maximum exercise, even though DL<sub>CO</sub> at rest decreased significantly after surgery. These results are consistent with those of Hsia et  $al^{34}$ , who found only a mild decline in arterial  $O_9$  saturation during exercise after pneumonectomy, indicating high functional reserves for diffusion capacity in the lungs during exercise. As cardiac output rises during incremental exercise in healthy participants, a twofold increase in diffusion capacity in the lungs is observed in order to maintain oxygenation,35 indicating a higher diffusion capacity reserve compared with cardiac output. This may explain why the majority of patients undergoing lung resection are able to maintain their SpO<sub>2</sub> after surgery, even during maximal exercise.

Unfortunately, we did not measure stroke volume during exercise; however, the oxygen pulse, which yields information on the maximal cardiac stroke volume,  $^{36-38}$  was significantly reduced after surgery. In fact, the oxygen pulse was the strongest predictor for the decrease in  $\dot{V}O_{2peak}$ . Normally, a low oxygen pulse reflects cardiac limitation if the patient does not desaturate,  $^{39-40}$  indicating a negative effect of surgery on the cardiac function. To confirm the impact on cardiac limitation, we in retrospect calculated the change in the patients' stroke volume by estimating the arteriovenous oxygen difference,  $^{39-41}$  and found a 10% reduction in the stroke volume (p<0.001) from before to after surgery (data not shown).

Anaemia is a factor that decreases the oxygen-carrying capacity of blood, thereby affecting  $\dot{V}O_{2peak}$  negatively. According to the multiple regression analysis, the observed decrease in Hb following surgery was not an important contributor to the decrease in  $\dot{V}O_{2peak}$  following surgery. Loss of muscle mass may also reduce  $\dot{V}O_{2peak}$ . A previously reported dual energy X-ray absorptiometry scanning revealed a significant postoperative loss of muscle mass in our patients. Thus, the negative effect of surgery on cardiac function and muscle mass may have contributed to the postoperative decrease in  $\dot{V}O_{2peak}$  while, according to the lacking correlation with lung mechanics and the increase in breathing reserve, the loss of lung tissue seems to be of less importance.

Prolonged sedentariness leads to a reduction in cardiac output, as well as muscle wasting. <sup>21</sup> <sup>43</sup> This may

# Predicted postoperative $\dot{VO}_{2peak}$

The second aim of the current study was to evaluate the agreement between ppo VO<sub>2peak</sub> and actually measured postoperative VO<sub>2peak</sub> values during a maximal treadmill test in patients undergoing lung cancer surgery. Estimation of ppo VO<sub>2peak</sub> from the number of lung segments removed is included in the ERS/ESTS guidelines for lung cancer surgery in order to predict surgical risk and functional outcome. A ppo  $\dot{V}O_{2peak}$  value < 10 mL/ kg/min or <35% of predicted is used as cut-off values for 'high-risk patient',5 thus stressing the importance of applying an accurate formula. Despite satisfying accuracy in ppo VO<sub>2peak</sub> compared with actually measured VO<sub>2peak</sub> after surgery, we found that the variance was large, indicating poor precision. This is in accordance with the lacking correlation between change in VO<sub>2peak</sub> and the number of resected lung segments. In fact, the ppo VO<sub>2peak</sub> value was miscalculated by more than ±20% in as many as 25% of the patients. The results regarding the agreement between ppo VO<sub>2peak</sub> and actually measured values of VO<sub>2peak</sub> in the present study are consistent with those of Brunelli et al. 15 They concluded that the ppo  $\dot{V}O_{2peak}$  was largely inaccurate and its use should be cautioned against, <sup>15</sup> a statement which is supported by our results.

#### CONCLUSIONS

The significant reduction in  $\dot{V}O_{2peak}$  and lack of improvement 6 months after lung cancer surgery cannot be explained by the loss of functional lung tissue, but appears to reflect a decrease in the patients' cardiac function. Predicting postoperative  $\dot{V}O_{2peak}$  based on the amount of lung tissue removed is not recommendable due to poor precision.

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